



#3 2461

PATENT  
Attorney Docket No. 82001-0189

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Kurt A. ZAREFOSS et al. )

Application No.: 09/965,854 )

Filed: October 1, 2001 )

For: SYSTEM AND METHOD FOR SUPPLY )  
CHAIN MANAGEMENT, INCLUDING )  
COLLABORATION )

Art Unit: 2161

Examiner: Unknown

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Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached Form PTO-1449, which the Examiner may deem relevant to the patentability of the above-identified application. One copy of each of the listed documents is submitted herewith.

This information disclosure statement is being filed before the mailing date of a first Office Action. Therefore, no certification under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

Each item of information contained in the information disclosure statement was first cited in the attached communication from the International Search Authority in PCT Application No. PCT/US01/30370, a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. § 1.97(e)(1).

Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission the listed documents are material or constitute "prior art." If the Examiner applies the documents as "prior art" against any claims in the application and

Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such document.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed document, should the document be applied against the claims of the present application.

Except for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§ 1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account No. 50-1349. This paragraph is intended to be a **CONSTRUCTIVE PETITION FOR EXTENSION OF TIME** in accordance with 37 C.F.R. § 1.136(a)(3).

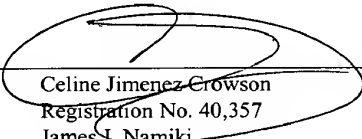
Respectfully submitted,

**HOGAN & HARTSON LLP**

Dated: February 1, 2002

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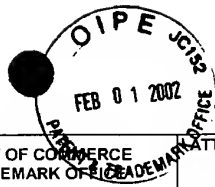
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<b>FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)		<b>ATTY. DOCKET NO.</b> 82001-0189		<b>APPLICATION NO.</b> 09/965,854			
		<b>APPLICANTS</b> Kurt A. ZAREFOSS et al.					
		<b>FILING DATE</b> October 1, 2001		<b>GROUP</b> 2161			
<b>U.S. PATENT DOCUMENTS</b>							
<b>EXAMINER INITIAL</b>		<b>PATENT NUMBER</b>	<b>ISSUE DATE</b>	<b>PATENTEE</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>FILING DATE IF APPROPRIATE</b>
		5,930,156	07/27/1999	KENNEDY	364	578	04/08/1998
		5,931,900	08/03/1999	NOTANI et al.	709	201	08/25/1997
		6,301,621 B1	10/09/2001	HAVERSTOCK et al.	709	246	06/19/1998
<b>FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION</b>							
		<b>DOCUMENT NUMBER</b>	<b>PUBLISHED DATE</b>	<b>COUNTRY</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>ABSTRACT</b>
							Yes No
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
		International Search Report, PCT/US01/30370, January 11, 2002					
<b>EXAMINER</b>					<b>DATE CONSIDERED</b>		
<b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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